

Verification

2017-2018 Verification

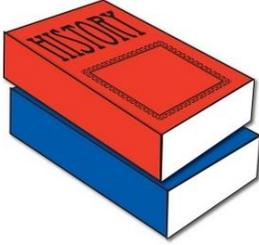


NCASFAA Fall Conference
November 14-16, 2016

David Bartnicki, Federal Training Officer
U.S. Department of Education

Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™
An OFFICE of the U.S. DEPARTMENT of EDUCATION

Background



Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™
An OFFICE of the U.S. DEPARTMENT of EDUCATION

Verification – History

- Last comprehensive look in 1985
- Program Integrity regulations (October 29, 2010)
 - Move toward more customized verification
 - Effective for the 2012-2013 award year
- For the 2012-2013 award year
 - Retained the long-standing five items and added SNAP and child support paid, if reported on the ISIR

Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™
An OFFICE of the U.S. DEPARTMENT of EDUCATION

For Training and Discussion Purposes Only

Verification

Verification – History

- For the 2013-2014 award year
 - Introduced the concept of verification groups
 - Added high school completion and identity/statement of educational purpose as verification items
- For the 2014-2015 award year
 - Eliminated SNAP (V2) as a separate verification group
 - Added household resources group (V6)
 - Added other untaxed income and benefits as a verification item

4 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

Verification – History

- For the 2015-2016 award year
 - No changes to verification items and tracking groups
- For the 2016-2017 award year
 - Eliminated Child Support Paid (V3) as a separate verification group
 - In limited circumstances, an applicant's Verification Tracking Group could change

5 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

2017-18 Verification Changes Overview

6 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only

Verification

Tracking Groups and Data Elements

- Verification Tracking Group V6 was eliminated for 2017-18
 - Schools still have authority to select additional students and items of their choosing for verification
- SNAP and Child Support Paid removed as individual verification items under V1, V4 or V5

DCL GEN-16-07



Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

Verification of Non-Filing

- *Individual selected for V1/V5 verification required to file a 2015 IRS income tax return and granted a filing extension by the IRS, or **any** nontax filer selected for V1/V5 verification (parent, student, etc.) regardless if they earned any income from work, **MUST** provide:*
 - Confirmation of non-filing from the IRS or other relevant taxing authority dated on or after October 1, 2016
 - If individual never filed a tax return before, can get letter of nonfiling from the IRS through form 4506-T (box 7)



Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

Verification Q & A

DOC-Q29. ...how can a nontax filer without a SSN, ITIN or EIN ...meet the verification requirement to obtain confirmation of nonfiling from the IRS?

DOC-A29: ...If the parents of a dependent student or the spouse of an independent student does not have a SSN, ITIN or EIN and unable to obtain a verification of nonfiling from the IRS and income is below IRS filing threshold must submit to the institution:

- A signed and dated statement--
 - Certifying does not have a SSN, ITIN or EIN; and
 - Listing the sources and amounts of earnings, other income, and resources for the appropriate tax year; and
- If applicable, W-2s for each source of employment income received for the appropriate tax year or an equivalent document



Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

For Training and Discussion Purposes Only

Verification

Use of Documents from 2016-17

- School can use verification documents from 2016-2017 to meet 2017-2018 requirements for the following items:
 - Adjusted Gross Income (AGI); U.S. Income Tax Paid ; Untaxed Portions of IRA Distributions; Untaxed Portions of Pensions; IRA Deductions and Payments; Tax Exempt Interest Income; Education Credits; Income Earned from Work
- Documentation of high school completion obtained prior to the 2017–2018 award year is acceptable
- Must obtain new documentation for:
 - Number of Household Members
 - Number in College
 - Identity/Statement of Educational Purpose

10 **GEN-16-07** Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

2017-18 Verification Tracking Groups: Data Elements & Documentation



11 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

Which Students to Verify?

- Schools only need verify students who are selected for verification
- Applicants can be selected in two ways:

<p>Department Selects</p> <ul style="list-style-type: none"> School must verify all students the Department selects Student will be assigned to a verification group, which determines the items to verify ISIR will include verification flag and an asterisk (*) next to EFC 	<p>School Selects</p> <ul style="list-style-type: none"> Schools may select students not selected by the Dept. May use the same data elements and documents as the Dept., or something else Written policy must address how school selects students and what they will verify
--	---

12 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only

Verification

Verification Selection Groups

- V1 – Standard Verification Group
- V2 – Reserved
- V3 – Reserved
- V4 – Custom Verification Group
- V5 – Aggregate Verification Group
- V6 – Reserved



Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™

V1– Tax Filers

- Adjusted Gross Income
- U.S. Income Tax Paid
- Untaxed Portions of IRA Distributions
- Untaxed Portions of Pensions
- IRA Deductions and Payments
- Tax Exempt Interest Income
- Education Credits

Documentation:

- IRS DRT;
- Tax Return Transcripts;
- Alternate documentation where allowed (e.g. amended returns, foreign returns, etc.)

Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™

IRS Data Retrieval Tool

IRS Request Flag	Description
Blank	IRS Data Retrieval Tool not available
00	Student/Parent was ineligible to use the IRS Data Retrieval Tool
01	Student/Parent was presented with the IRS Data Retrieval Tool and elected to use it, but did not transfer IRS data into the FAFSA
★ 02	IRS data was transferred and was not changed
03	IRS data was transferred and changed
04	IRS data was transferred and then changed on a subsequent transaction
05	Student/Parent was presented with the IRS Data Retrieval Tool and elected not to use it
06	IRS data was transferred, but a subsequent change made the student/parent ineligible to use the IRS Data Retrieval Tool

Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only

Verification

IRS "Get Transcript Online"

- Allows the user to immediately receive an online PDF version of their IRS Tax Return Transcript or, if appropriate, a verification of non-filing
- New enhanced two-step, multi-factor authentication process requires:
 - access to a valid email address,
 - a text-enabled mobile phone in the user's name, and
 - specific financial account numbers (credit card number, etc.)
- During the new Get Transcript Online registration process, the IRS will send the user a one-time confirmation code via email and an authentication code via text that the user will use to finalize the Get Transcript Online registration

16 July 26, 2016 EA Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

Tax Filers - Extensions

- Person is required to file, but obtained **extension**, must submit:

Tax Filers Extension Documentation:

 - IRS Form 4868 or copy of the IRS's approval to extend beyond October 15 deadline;
 - W-2 for each source of employment income; and
 - If self employed, a signed statement certifying the amount of AGI and U.S. income tax paid; and
 - Non-Filing Letter from the IRS

When above documentation reviewed, verification is considered complete (disbursements are valid)
- School may request transcript/IRS DRT when taxes are filed; must then re-verify income information

17 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

Amended Tax Returns

Individuals who filed an amended tax return must submit the following documents to the institution:

- an IRS Tax Return Transcript, or any other IRS tax transcript(s) that include all of the income and tax information required to be verified; and
- a signed copy of the IRS Form 1040X that was filed with the IRS

Guidance effective 8/13/15 for 15/16 and subsequent years

- Posted on Program Integrity Q & A website

18 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

For Training and Discussion Purposes Only

Verification

V1 – Tax Non-Filers

- Income earned from work

Documentation

- Signed statement certifying the following:**
 - Individual has not filed and not required to file 2015 tax return;
 - Sources of income earned from work and amounts of income from each source for tax year 2015; **and**
- Copy of IRS Form W-2 for each source of employment income received for tax year 2015, **and**
- Verification of Non-Filing Letter from the IRS

19 **Federal Student Aid** PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

V1 - Tax Non-Filers

- Schools are required to know whether an applicant was required to file a tax return
- IRS Publication 17 gives guidance

IF your filing status is...	AND at the end of 2015 you were...*	THEN file a return if your gross income was at least...*
single	under 65	\$10,300
	65 or older	\$11,850
married filing jointly***	under 65 (both spouses)	\$20,600
	65 or older (one spouse)	\$21,850
	65 or older (both spouses)	\$23,100
married filing separately	any age	\$ 4,000
head of household	under 65	\$13,250
	65 or older	\$14,800
qualifying widow(er) with dependent child	under 65	\$16,600
	65 or older	\$17,850

20 **Federal Student Aid** PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

V1 – Tax Non-Filers

- Institution must require applicant to submit a “Verification of Nonfiling Letter” for 2015 tax year
 - Can be obtained from IRS.gov online
 - Form 4506-T and checking box 7 if never filed taxes before
 - Must be dated October 1 or later
 - Non-Filing letter is also required documentation for those granted filing extension by the IRS

21 **Federal Student Aid** PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

For Training and Discussion Purposes Only

Verification

Non-IRS Tax Filers

Income Information for Non-IRS Tax Filers

- Tax filers who filed an income tax return with a taxing authority in a **U.S. territory** (Guam, American Samoa, the U.S. Virgin Islands) or **commonwealth** (Puerto Rico and the Northern Mariana Islands) or with a **foreign central government**, must submit a copy of a transcript of their tax information
 - A signed copy of the applicable 2015 income tax return that was filed with the taxing authority is only acceptable if tax filers are unable to obtain a free copy of a transcript of their tax information

22 Federal Student Aid PROUD SPONSOR OF THE AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

Verification Q & A

- DOC-Q28: ...Which tax authorities charge a fee to obtain this information?
- DOC-A28: The tax authorities for Guam, the Commonwealth of the Northern Mariana Islands, the Commonwealth of Puerto Rico and the U.S. Virgin Islands charge a fee to obtain tax account information. Therefore, a tax filer who filed an income tax return with these tax authorities may provide institutions with a signed copy of his or her income tax return that was filed with the relevant tax authority. ...
...A tax filer who filed an income tax return with tax authorities not mentioned above, i.e. a foreign tax authority, and who indicates that they are unable to obtain tax account information free of charge, must provide the institution with documentation that the tax authority charges a fee to obtain information, along with a signed copy of his or her income tax return that was filed with the relevant tax authority

23 Federal Student Aid PROUD SPONSOR OF THE AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

Non-IRS Nontax Filers

Income Information for Non-IRS Nontax Filers

- **Residents of the Freely Associated States** (Republic of the Marshall Islands, the Republic of Palau, the Federated States of Micronesia), or a **U.S. territory or commonwealth or a foreign central government** who are not required to file an income tax return under that taxing authority's rules must submit the following:
 - a copy of their Wage and Tax Statement (or equivalent documentation) for each source of employment income and
 - a signed statement identifying all of the individual's income and taxes

24 Federal Student Aid PROUD SPONSOR OF THE AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

For Training and Discussion Purposes Only

Verification

V1– All Applicants

- Number in Household & Number in College

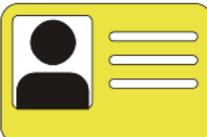
Documentation:

- **Household size** – signed statement (name, age, relationship)
 - Not required for certain logical assumptions:
 - Dependent student household size reported is 2 and parent is unmarried or 3 if the parents are married or unmarried and living together
 - Independent student household reported is one and applicant is unmarried or two if the applicant is married
- **Number in College** – signed statement (name of household member attending at least half-time and eligible institution name)
 - Not required if reported number is one (student)

25  PROUD SPONSOR of the AMERICAN MIND™

V4—Custom

- High School Completion Status
- Identity/Statement of Educational Purpose

26  PROUD SPONSOR of the AMERICAN MIND™

High School Completion Status

Documentation:

- High school diploma; or
- Final official high school transcript showing date diploma awarded; or
- “Secondary school leaving certificate” for students who completed secondary education in foreign country and unable to get copy of high school diploma/transcript
- **Note:** If, prior to being selected for verification, an institution already obtained HS completion status records for other purposes, the institution may rely on those records as long as it meets ED HS completion criteria

27  PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only

Verification

High School Completion Status

- For V4 and V5, if institution successfully verified and documented applicant's high school completion status for a prior award year, verification of high school completion status is not required for subsequent years
- DD Form 214 Certificate of Release or Discharge From Active Duty *may serve* as alternative documentation to verify a student's high school completion if it indicates that the individual is a high school graduate or equivalent
- An institution may not accept as alternative documentation an applicant's self-certification

Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

High School Completion Status

In rare cases where it is impossible for a *refugee, an asylee or a victim of human trafficking* to obtain documentation of his or her completion of a secondary school education in a foreign country, an institution may accept self-certification from these applicants

- Q&A FHD-Q2/A2 (program integrity Q & A website under HSD)
- Required information included:
 - Proof of attempt to obtain documentation of their completion of a secondary school education in a foreign country
 - Signed/dated statement that indicates applicant completed secondary school education in a foreign country along with - name and address of foreign high school and date foreign HS diploma was awarded
 - Copy of entry status documentation showing status as a refugee, asylee, or victim of human trafficking and who entered the US after the age of 15

Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

High School Completion Status

Documentation: Recognized equivalent of a HS diploma

- Copy of the student's General Educational Development (GED) certificate, an official GED transcript that indicates the student passed the exam, or a state-authorized HS equivalent certificate; OR
- Academic transcript of a student who has successfully completed at least a two-year program that is acceptable for full credit toward a bachelor's degree; OR
- Student excelled academically in HS and met all criteria to be admitted into a 2-year degree program or higher

Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

For Training and Discussion Purposes Only

Verification

High School Completion Status

- Test transcripts of State-authorized examinations (e.g., GED test, HiSET, TASC, or other State-authorized high school equivalency examinations) are acceptable documentation of high school completion only if:
 - The official test transcript specifically indicates that a state has determined that the test results are considered by the State to meet its requirements of high school equivalency;

OR

- The official test transcript includes language that the final score is a passing score

DCL – GEN-14-06 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

High School Completion Status

- Documentation: Homeschooled
 - Transcript, or the equivalent, signed by the parent or guardian, that lists the secondary school courses completed by the applicant and documents the successful completion of a secondary school education;

OR

- A secondary school completion credential for home school provided for under State law

Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

Identity/Statement of Educational Purpose

- Documentation: students that appear in person
 - Present to an institutionally authorized individual
 - A valid NON-EXPIRED government-issued photo ID; and
 - Cannot use military IDs
 - Does NOT have to be Real ID Act compliant (DOC-Q26)
 - A signed statement of educational purpose
 - Provided by ED, cannot be modified
 - Maintain, an annotated copy of the identification:
 - The date documentation was received; and
 - The name of the institutionally-authorized individual that obtained the documentation

Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only

Verification

Identity/Statement of Educational Purpose

Documentation: student unable to appear in person

- Must provide the institution—
 - Copy of valid NON-EXPIRED government-issued photo ID
 - *Cannot use military IDs*
 - *Does NOT have to be Real ID Act compliant (DOC-Q26)*
- AND
- An *original*, notarized statement of educational purpose signed by the applicant (no online notaries allowed)
- Cannot be faxed or scanned
- After examining *original* Statement of Educational Purpose, institution may convert it to an electronic record

34 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

V5 – Aggregate

- High School Completion Status
- Identity/Statement of Educational Purpose
- AND
- All items indicated-Tax Filer (V1)
- All items indicated-Non-Tax Filer (V1)

35 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

**V4/V5 Tracking Results:
2016-2017 & 2017-2018**

36 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

For Training and Discussion Purposes Only

Verification

V4/V5 Tracking Results 2016-2017 & 2017-2018

- The V4 and V5 tracking process in FAA Access that began in the 2014-15 processing year WILL CONTINUE for 2016-17 AND 2017-2018
- Schools need to select the proper award year for which they are providing results
 - 2015-16, 2016-17, 2017-18, etc.

<https://faaaccess.ed.gov>

37 Elec. Ann. – 8/19/16 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

V4/V5 Tracking Results – 2016-2017

Use the Identity Verification Results section on the FAA Access home page

38 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

V4/V5 Tracking Results

Whom to report: student for whom school received an ISIR with a Verification Tracking Group of V4 or V5 AND for whom school requested verification documentation

- Do NOT include students the school selected for verification of identity or high school completion status

When to report: 60 days following the institution's first request to the student to submit the required V4 or V5 identity and high school completion documentation

- Changes to previously submitted Identity Verification Results must be updated within 30 days

39 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only

Verification

NEW V4/V5 Tracking Results – 2017-18

New Value #6 added to drop down options for 2017-18:

- Dropdown options for 2017-18 will now be:
 1. Verification completed in person, no issues found
 2. Verification completed remotely, no issues found
 3. Verification attempted, issues found with identity
 4. Verification attempted, issues found with HS completion
 5. No response from applicant or unable to locate
 6. **Verification attempted, issues found with both identity and HS completion**

20 Elec. Ann. – 8/23/16 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

2017-2018 Verification

Suggested Text



41 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

Suggested Text – EA 7/29/16

- Contains 3 appendices to assist with crafting school verification documents to gather verification data
- Appendix A – Suggested Text
 - Not required to use ED's suggested text and formats
 - **ONE EXCEPTION – institutions must use the exact language provided in the "Statement of Educational Purpose" (Groups V4 & V5)**
- Appendix B – Table of verification items
- Appendix C – Verification tracking groups and FAFSA verifiable information

42 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only

Verification

Verification Reminders



43 Federal Student Aid PROUD SPONSOR OF THE AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

Verification Tracking Group Changes

Verification Tracking Group changes:

- Applicants may be moved from previously assigned Groups V1, and V4 to Verification Tracking Group V5
 - Applicant is only required to verify the additional items in V5 that were not previously verified
 - If the applicant is moved to Verification Tracking Group V5, no additional disbursements of any Title IV aid may be made until verification is satisfactorily completed

44 Federal Student Aid PROUD SPONSOR OF THE AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

Verification Tracking Group Changes

Verification Tracking Group changes:

- Applicants moved to Verification Tracking Group V5
 - If Title IV aid had been disbursed prior to receiving an ISIR with the new V5, and the applicant does not complete verification, the applicant is liable for the full amount of TIV aid disbursed (except FWS funds)
 - The institution is not liable
 - *Except school must return any Title IV funds as required in any R2T4 calculations*

45 Federal Student Aid PROUD SPONSOR OF THE AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

For Training and Discussion Purposes Only

Verification

Verification Tracking Group Changes

Applicants moved to Verification Tracking Group V5

- Verification can be set on the application or correction
- Verification Selection Change Flag
 - Y: indicates verification was not set and now record is selected for verification
 - C: indicates the verification tracking group has changed
 - This "C" is transaction specific

46 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

Changes in Verification Tracking Groups

- Electronic Announcement – October 31, 2016
- Provides a chart to help determine when and which TIV funds have to be returned when a student is selected for verification after TIV funds have been disbursed in the following situations:
 - Not initially selected for verification; then selected for V1 or V6
 - Not initially selected for verification; then selected for V4 or V5; or previously selected for V1, V4, or V6; then selected for V5
 - Selected for verification and filed an income tax return extension but otherwise completed V1/V4/V6 verification AND institution has policy requiring the student to submit the tax return transcript or to use the IRS DRT once the tax return is filed
- Addresses when verification is not completed, completed and no EFC changes, and completed but EFC changes occur

47 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

Changes in Verification Tracking Groups

- Electronic Announcement – October 31, 2016
- Failure to complete verification
 - While waiting to complete verification, no further disbursements of any TIV can be made (exceptions V1/V6)
 - In most instances, the applicant (not the institution) is required to repay all Title IV grant aid and Perkins Loan funds disbursed before the student was selected for verification
 - School responsible if disbursed under the interim disbursement provision
 - *Except for V4 or V5*, DL funds disbursed do not have to be returned
 - FWS earned does not have to be returned

48 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE of the U.S. DEPARTMENT of EDUCATION

For Training and Discussion Purposes Only

Verification

October 18, 2016 Electronic Announcement

- Alternative documentation allowed when tax filer *recently* requested but unable to obtain IRS Tax Return Transcript using IRS *paper or on-line* request process
- No alternative documents for telephone requests
- Exception not permitted for –
 - transcripts unable to be obtained simply because the IRS has not had time to process the data due to a recent filing
 - failure to successfully register for Get Transcript Online

***Guidance is ONLY for 2016-2017 award year**

****Separate Electronic Announcement will be provided for 2017–2018 just prior to 2017–2018 award year**

Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

2016-2017 – Transcripts Unavailable

- Alternative documentation includes:
 - Signed copy of relevant 2015 IRS tax return
AND
 - Statement from tax filer, on or attached to the return, certifying same data submitted to the IRS
AND
 - Communication from IRS stating request unsuccessful
 - Letter from IRS (signed and dated by tax filer); or
 - Screen shot print (signed and dated by tax filer)
- Completed and signed IRS Form 4506 T-EZ or 4506-T listing institution as third party – only send to IRS if doubt accuracy

Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

Tax Identity Theft

Victims of IRS tax-related identity theft must submit

- Statement signed and dated by tax filer indicating they were victims of IRS tax-related identity theft and the IRS has been made aware of the tax-related identity theft; **and**
- A Tax Return DataBase View (TRDBV) transcript obtained from the IRS (1-800-908-4490)
 - Tax filers who cannot obtain a TRDBV transcript may instead submit another official IRS transcript or equivalent document provided by the IRS if it includes all of the income and tax information required to be verified

Guidance applies to 15/16 and subsequent years

- Posted in 6/26/15 Federal Register Notice

Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™
AN OFFICE OF THE U.S. DEPARTMENT OF EDUCATION

For Training and Discussion Purposes Only

Verification



52 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

Resources

Federal Student Aid Handbook

- Application and Verification Guide – Chapter 4 (2017-18 AVG is coming soon!)

Federal Register

- April 1, 2016 (2017-18 verification items & documentation)
 - <https://ifap.ed.gov/fregisters/FR040116FAFSA20172018BeVerified.html>

Dear Colleague Letters

- GEN-16-07 published on April 5, 2016 (2017-18 verification items and documentation)
 - <https://ifap.ed.gov/dpcletters/GEN1607.html>

Electronic Announcement

- July 29, 2016 (2017-18 suggested verification text)
 - <https://ifap.ed.gov/eannouncements/072916VerificationSuggestedTextPackageFor20172018.html>

53 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

Resources

Program Integrity Q & A Website (Verification)

- <http://www2.ed.gov/policy/highered/reg/hearulemaking/2009/integrity-qa.html>

FSA Assessments

- <http://ifap.ed.gov/qahome/qaassessments/fsaverification.html>
 - Activity 3 – Verification Codes

Federal Regulations

- 34 C.F.R. § 668 Subpart E (668.51 – 668.61)
- 34 C.F.R. § 668.16(f)



54 Federal Student Aid PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only

Verification

Contacts

- Atlanta School Participation Team
 - MS, AL, FL, GA, NC, SC
 - Main Number: 404-974-9303/9335
 - Arva Thomas (IIS) 404-974-9412
 - Cassandra Weems (IIS) 404-974-9305
- Atlanta Training Officers
 - MS, AL, FL, GA, NC, SC, KY, TN
 - David Bartnicki – 404-974-9312

Email: firstname.lastname@ed.gov

Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™

Training Feedback

To ensure quality training we ask all participants to please fill out an online session evaluation

- Go to <http://s.zoomerang.com/s/DavidBartnicki>
 - Evaluation form is specific to David Bartnicki
- This feedback tool will provide a means to educate and inform areas for improvement and support an effective process for “listening” to our customers
- Additional feedback about training can be directed to joann.borel@ed.gov

Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™

Questions?

David Bartnicki
404-974-9312
david.bartnicki@ed.gov

Q & A

Federal Student Aid | PROUD SPONSOR of the AMERICAN MIND™

For Training and Discussion Purposes Only